

? show files; ds; save temp; logoff hold  
File 35:Dissertation Abs Online 1861-2006/Jun  
(c) 2006 ProQuest Info&Learning  
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13  
(c) 2002 The Gale Group  
File 65:Inside Conferences 1993-2006/Jul 18  
(c) 2006 BLDSC all rts. reserv.  
File 2:INSPEC 1898-2006/Jul W2  
(c) 2006 Institution of Electrical Engineers  
File 144:Pascal 1973-2006/Jun W4  
(c) 2006 INIST/CNRS  
File 474:New York Times Abs 1969-2006/Jul 17  
(c) 2006 The New York Times  
File 475:Wall Street Journal Abs 1973-2006/Jul 17  
(c) 2006 The New York Times  
File 99:Wilson Appl. Sci & Tech Abs 1983-2006/Jun  
(c) 2006 The HW Wilson Co.  
File 344:Chinese Patents Abs Jan 1985-2006/Jan  
(c) 2006 European Patent Office  
File 347:JAPIO Dec 1976-2005/Dec(Updated 060404)  
(c) 2006 JPO & JAPIO  
File 350:Derwent WPIX 1963-2006/UD=200645  
(c) 2006 The Thomson Corp.

Set	Items	Description
S1	26266	TSP OR THRIFT()SAVING()ACCOUNT? ? OR 401()K OR 401K OR (((-EMPLOYER OR COMPANY OR CORPORAT???)()SPONSORED)(3N)(SAVING? ? OR RETIREMENT OR PENSION)) OR SALARY()(REDUCTION OR DEFERRAL)-()PLAN? ? OR (RETIREMENT OR OLD()AGE OR OLDAGE OR RETIREE? ? OR ELDERLY) (
S2	1935267	INTERNET()SITE? OR WEBPAGE? ? OR WEB()PAGE? ? OR WEBSITE? - OR WEB OR SITE? OR WEB()SITE? ? OR WEB()SERVER? OR WEBSERVER? ? OR HOMEPAGE? ? OR HOME()PAGE? ? OR PERSONALPAGE? ? OR PERSONAL()PAGE? ? OR WORLD()WIDE()WEB? ? OR INTERNET OR INTRANET OR EXTRANET OR
S3	1089	PLAN?(3N)SPONSOR?
S4	51	S3(7N) ( DESIGN? OR CREAT? OR PRODUC? )
S5	512864	(INFO OR INFORMATION OR DATA) (7N) (YEAR? ? OR MONTH? ? OR DATE? ? OR TIME)
S6	4064	AU= (CUSHING, J? OR CUSHING J? OR DAUGHERTY, M? OR DAUGHERTY M? OR DONNELLY, T? OR DONNELLY T? OR ELLIS, A? OR ELLIS A? OR KLIEGL, P? OR KLIEGL P? OR KELLY, W? OR KELLY W? OR KOHLER, K? OR KOHLER K? OR PREY, R? OR PREY R? OR RENK
S7	1	S6 AND S1
S8	911	S1 AND S2
S9	6	S8 AND S3
S10	23	S8 AND S5
S11	22	S10 NOT ( S7 OR S9)
S12	5	S1 AND S4
S13	2	S1 AND S3 AND S5

t/3,k/all

7/3,K/1 (Item 1 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2006 The Thomson Corp. All rts. reserv.

014155063 \*\*Image available\*\*  
WPI Acc No: 2001-639289/200173  
XRPX Acc No: N01-477833

**System for designing group retirement plans by merging objectives of a plan sponsor business and needs directly through a business logic component**

Patent Assignee: PRINCIPAL FINANCIAL SERVICES INC (PRIN-N); CUSHING J K (CUSH-I); DAUGHERTY M J (DAUG-I); DONNELLY T J (DONN-I); ELLIS A M (ELLI-I); KELLY W J (KELL-I); KLIEGL P M (KLIE-I); KOHLER K M (KOHL-I); PREY R L (PREY-I); RENKEN T G (RENK-I)  
Inventor: CUSHING J K ; DAUGHERTY M J; DONNELLY T J ; ELLIS A M; KELLY W J; KLIEGL P M; KOHLER K M ; PREY R L ; RENKEN T G

Number of Countries: 094 Number of Patents: 003

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200173658	A2	20011004	WO 2001US9672	A	20010327	200173 B
US 20010034684	A1	20011025	US 2000192833	P	20000329	200173
			US 2001819318	A	20010328	
AU 200149466	A	20011008	AU 200149466	A	20010327	200208

Priority Applications (No Type Date): US 2000192833 P 20000329; US 2001819318 A 20010328

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
WO 200173658	A2	E 41	G06F-017/60	

Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

US 20010034684 A1 G06F-017/60 Provisional application US 2000192833

AU 200149466 A G06F-017/60 Based on patent WO 200173658

**System for designing group retirement plans by merging objectives of a plan sponsor business and needs directly through a business logic...**

Inventor: CUSHING J K ...

... DONNELLY T J ...

... KOHLER K M ...

... PREY R L ...

... RENKEN T G

Abstract (Basic):

... 16), web browsers (18) and web pages and application software loaded onto the server, while **retirement plan** and **plan sponsor data** are stored on database server (24). Suitable group **retirement plan** is designed by a business logic component based on information

*Applicants*

collected from the prospective plan...

... AN INDEPENDENT CLAIM is included for a method for designing a group **retirement plan** .

...

...Designing a group **retirement plan** .

...Abstract (Equivalent): 16), web browsers (18) and web pages and application software loaded onto the server, while **retirement plan** and **plan** sponsor data are stored on database server (24). Suitable group **retirement plan** is designed by a business logic component based on information collected from the prospective plan...

...DETAILED DESCRIPTION - AN INDEPENDENT CLAIM is included for a method for designing a group **retirement plan** .

...

...USE - Designing a group **retirement plan** .

?

9/3,K/1 (Item 1 from file: 2)  
DIALOG(R)File 2:INSPEC  
(c) 2006 Institution of Electrical Engineers. All rts. reserv.

08008498

**Title: The promise of Web -powered 40(k) plans**

Author(s): Levinson, A.  
Journal: Strategic Finance vol.83, no.1 p.40-5  
Publisher: Inst. Manag. Accountants,  
Publication Date: July 2001 Country of Publication: USA  
CODEN: MGACBD ISSN: 0025-1690  
SICI: 0025-1690(200107)83:1L.40:PPP;1-6  
Material Identity Number: H306-2001-005  
U.S. Copyright Clearance Center Code: 0025-1690/2001/\$3.00+.30  
Language: English  
Subfile: D  
Copyright 2001, IEE

**Title: The promise of Web -powered 40(k) plans**

Abstract: The all-electronic 401 ( k ) promises to revolutionize retirement savings plans by bringing costs down and offering employees more options. The 401 ( k ) has been reengineered with Web technology and network connectivity that streamlines costs to make a lower-fee plan possible. Employee participants have vastly more choice of investments plus a world of online personal finance planning and education. Employer plan sponsors enjoy automated administration, legal compliance, and participant education that makes overseeing a retirement savings plan less burdensome.

...Descriptors: Internet ;

Identifiers: Web -powered 40(k) plans...

... retirement savings plans ; ...

... Web technology...

... online education...

... online personal finance planning

9/3,K/2 (Item 1 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2006 The Thomson Corp. All rts. reserv.

015535454 \*\*Image available\*\*  
WPI Acc No: 2003-597604/200356  
Related WPI Acc No: 2003-256142  
XRPX Acc No: N03-476303

Retirement account management interface system using networking system, has plan provider interface, plan sponsor interface and employee interface allow provider, sponsor and employee to access respective gateways

Patent Assignee: GILBERT J A (GILB-I); GUPTA M (GUPT-I)

Inventor: GILBERT J A; GUPTA M

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20030105692	A1	20030605	US 2001305889	P	20010718	200356 B

Priority Applications (No Type Date): US 2001305889 P 20010718; US  
2002197677 A 20020718

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes  
US 20030105692 A1 119 G06F-017/60 Provisional application US 2001305889  
Retirement account **management interface system using networking  
system, has plan provider interface, plan sponsor interface and  
employee interface allow provider, sponsor and employee to access  
respective gateways**

Abstract (Basic):

... A plan provider interface (114), a **plan sponsor** interface  
(116) and employee interface (118) allow provider, sponsor and employee  
to access respective gateways...  
... For managing employee **retirement account** using PC connected  
to a network...  
...The **plan sponsor** interface is a user friendly interface that makes  
navigation quick and easy. The eligible employees...  
...The figure shows a **web based retirement fund** management system...  
... **plan sponsor** interface (116

9/3,K/3 (Item 2 from file: 350)

DIALOG(R)File 350:Derwent WPIX  
(c) 2006 The Thomson Corp. All rts. reserv.

015195606 \*\*Image available\*\*  
WPI Acc No: 2003-256142/200325  
Related WPI Acc No: 2003-597604  
XRPX Acc No: N03-203287

Online retirement funds **management system has gateways for  
approving and modifying plan settings, configuring bulletins and updating  
information on investments, loans and distribution of funds of  
participant**

Patent Assignee: GILBERT J A (GILB-I); GUPTA M (GUPT-I)

Inventor: GILBERT J A; GUPTA M

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20030018557	A1	20030123	US 2001305889	P	20010718	200325 B
			US 2002197673	A	20020718	

Priority Applications (No Type Date): US 2001305889 P 20010718; US  
2002197673 A 20020718

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes  
US 20030018557 A1 22 G06F-017/60 Provisional application US 2001305889  
Online retirement funds **management system has gateways for  
approving and modifying plan settings, configuring bulletins and updating  
information...**

Abstract (Basic):

... to perform approval and modification of plan settings and

maintain investments of the participants. A **plan sponsor** gateway configures clients, bulletins, information associated with client for displaying investments within a selected plan...  
... For **online** management of **retirement funds** using system such as **401 ( k )**.

**9/3,K/4 (Item 3 from file: 350)**  
DIALOG(R)File 350:Derwent WPIX  
(c) 2006 The Thomson Corp. All rts. reserv.

014276659 \*\*Image available\*\*  
WPI Acc No: 2002-097361/200213  
XRPX Acc No: N02-071974

**Method of providing group retirement plan administration to one or more sponsors by automatically updating provider database based on contribution information received from sponsor**  
Patent Assignee: PRINCIPAL FINANCIAL GROUP (PRIN-N); ELLIS A M (ELLI-I); FRANKLIN S J (FRAN-I); HAYS E W (HAYS-I); KELLY W J (KELL-I); KLIEGL P M (KLIE-I); THOMANN S (THOM-I); ASHTON D M (ASHT-I); BEER M J (BEER-I); BOWMAN C J (BOWM-I); HASAN S L (HASA-I); HINDERS K R (HIND-I); KLEPPE D L (KLEP-I); KNAKE P W (KNAK-I); KRAKAU B E (KRAK-I); PERKINS B J (PERK-I); RIPPERGER J L (RIPP-I); VAN BAALE J A (VBAA-I)  
Inventor: ELLIS A M; FRANKLIN S; KELLY W J; THOMANN K S; FRANKLIN S J; HAYS E W; KLIEGL P M; THOMANN S; ASHTON D M; BEER M J; BOWMAN C J; HASAN S L; HINDERS K R; KLEPPE D L; KNAKE P W; KRAKAU B E; PERKINS B J; RIPPERGER J L; VAN BAALE J A

Number of Countries: 094 Number of Patents: 005

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200177951	A2	20011018	WO 2001US11070	A	20010405	200213 B
AU 200153167	A	20011023	AU 200153167	A	20010405	200213
US 20010037276	A1	20011101	US 2000195185	P	20000407	200213
			US 2001824329	A	20010402	
AU 2001253167	A8	20051013	AU 2001253167	A	20010405	200611
US 20060080200	A1	20060413	US 2000195185	P	20000407	200626
			US 2001824329	A	20010402	
			US 2005186640	A	20050720	

Priority Applications (No Type Date): US 2001824329 A 20010402; US 2000195185 P 20000407; US 2005186640 A 20050720

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
-----------	------	-----	----	----------	--------------

WO 200177951	A2	E	69	G06F-017/60	
--------------	----	---	----	-------------	--

Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

AU 200153167	A				Based on patent WO 200177951
US 20010037276	A1			G06F-017/60	Provisional application US 2000195185
AU 2001253167	A8			G06F-017/60	Based on patent WO 200177951
US 20060080200	A1			G06Q-040/00	Provisional application US 2000195185

CIP of application US 2001824329

Method of providing group retirement plan administration to one or more sponsors by automatically updating provider database based on contribution information...

Abstract (Basic):

... a) a group retirement plan administration system...

...In employer - sponsored retirement savings plans for underwriting and/or administrating the plan...

...Capable of using a web -based, web -enabled front end for passing/sharing main frame data to/with a retirement plan provider. Provides for a comprehensive, interactive front end for providing record keeping and other service to group retirement plan sponsors . Provides record keeping and other services to group retirement plan participants while improving way to handle both money and data relating to retirement plans and reduces data errors. Reduces the time between receipt of a request and implementation of...

...showing the information flow of the present invention between the plan participant in a group retirement plan , a plan sponsor of the group retirement plan and the plan provider of the group retirement plan .

9/3,K/5 (Item 4 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2006 The Thomson Corp. All rts. reserv.

014213857 \*\*Image available\*\*

WPI Acc No: 2002-034555/200204

XRPX Acc No: N02-026584

Electronic selective document distribution method to selective participants of a plan distributing documents on an event-triggered basis through a communications network system

Patent Assignee: ESPOSITO J L (ESPO-I)

Inventor: ESPOSITO J L

Number of Countries: 095 Number of Patents: 003

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200184437	A2	20011108	WO 2001US14001	A	20010501	200204 B
US 20010051906	A1	20011213	US 2000200840	P	20000501	200204
			US 2001847062	A	20010501	
AU 200159307	A	20011112	AU 200159307	A	20010501	200222

Priority Applications (No Type Date): US 2001847062 A 20010501; US 2000200840 P 20000501

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200184437 A2 E 45 G06F-017/60

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

US 20010051906 A1 G06F-017/60 Provisional application US 2000200840

AU 200159307 A G06F-017/60 Based on patent WO 200184437

Abstract (Basic):

... The defining of plan rules is performed by a **plan sponsor** .  
...

...For employee benefit plans and human resource **programs** e.g.  
**retirement** .  
...

...timely generation and transmission, reporting, monitoring and  
re-creation of documents using an event-triggered, **Internet** or  
automated-based system

9/3,K/6 (Item 5 from file: 350)  
DIALOG(R)File 350:Derwent WPIX,  
(c) 2006 The Thomson Corp. All rts. reserv.

014155063 \*\*Image available\*\*  
WPI Acc No: 2001-639289/200173  
XRPX Acc No: N01-477833

**System for designing group retirement plans by merging objectives of  
a plan sponsor business and needs directly through a business logic  
component**

Patent Assignee: PRINCIPAL FINANCIAL SERVICES INC (PRIN-N); CUSHING J K  
(CUSH-I); DAUGHERTY M J (DAUG-I); DONNELLY T J (DONN-I); ELLIS A M  
(ELLI-I); KELLY W J (KELL-I); KLIEGL P M (KLIE-I); KOHLER K M (KOHL-I);  
PREY R L (PREY-I); RENKEN T G (RENK-I)  
Inventor: CUSHING J K; DAUGHERTY M J; DONNELLY T J; ELLIS A M; KELLY W J;  
KLIEGL P M; KOHLER K M; PREY R L; RENKEN T G  
Number of Countries: 094 Number of Patents: 003  
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200173658	A2	20011004	WO 2001US9672	A	20010327	200173 B
US 20010034684	A1	20011025	US 2000192833	P	20000329	200173
			US 2001819318	A	20010328	
AU 200149466	A	20011008	AU 200149466	A	20010327	200208

Priority Applications (No Type Date): US 2000192833 P 20000329; US  
2001819318 A 20010328

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
WO 200173658	A2	E	41	G06F-017/60	

Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY BZ CA CH  
CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP  
KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT  
RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

US 20010034684 A1 G06F-017/60 Provisional application US 2000192833

AU 200149466 A G06F-017/60 Based on patent WO 200173658

**System for designing group retirement plans by merging objectives of  
a plan sponsor business and needs directly through a business logic  
component**



Abstract (Basic):

...       **Web server** (12) is connected to the **Internet** (14) with  
end-user data processing systems (16), **web** browsers (18) and **web**  
**pages** and application software loaded onto the server, while  
**retirement plan** and **plan sponsor** data are stored on database  
server (24). Suitable group **retirement plan** is designed by a  
business logic component based on information collected from the  
prospective **plan sponsor**.  
...       AN INDEPENDENT CLAIM is included for a method for designing a  
group **retirement plan** .  
...

...Designing a group **retirement plan** .  
...

... **Web server** (12  
...Abstract (Equivalent): NOVELTY - **Web server** (12) is connected to the  
**Internet** (14) with end-user data processing systems (16), **web**  
browsers (18) and **web pages** and application software loaded onto  
the server, while **retirement plan** and **plan sponsor** data are  
stored on database server (24). Suitable group **retirement plan** is  
designed by a business logic component based on information collected  
from the prospective **plan sponsor** .  
...

...DETAILED DESCRIPTION - AN INDEPENDENT CLAIM is included for a method for  
designing a group **retirement plan** .  
...

...USE - Designing a group **retirement plan** .  
...

... **Web server** 12  
?

11/3,K/1 (Item 1 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01900571 ORDER NO: AADAA-I3060336

**Destination 'e': Detecting and managing customer uncertainty in a forced migration initiative within a business-to-business market**

Author: Rotte, Kristin N.

Degree: Ph.D.

Year: 2002

Corporate Source/Institution: University of Cincinnati (0045)

Source: VOLUME 63/07-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 2624. 149 PAGES

ISBN: 0-493-75779-1

...migration decision.

The setting for the investigation is the financial services industry. The innovation, Electronic **Retirement Plan** (ERP), pertains to the offering of **retirement plans** for small firms, via the *Internet* </i>. The data for the research come from (a) a pre-market study conducted...

...1999 focused on assessing perceptions and migration intentions toward ERP, and (b) actual migration **data** (both choice and timing) in the 28 **months** following the introduction of ERP into the marketplace.

The research proceeds within two studies. In...

11/3,K/2 (Item 2 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01627849 ORDER NO: AAD98-22412

**THE EFFECT OF A PHYSICAL ACTIVITY INTERVENTION BASED ON THE TRANSTHEORETICAL MODEL IN CHANGING PHYSICAL-ACTIVITY-RELATED BEHAVIOR ON LOW- INCOME ELDERLY VOLUNTEERS**

Author: BRAATZ, JANELLE SUSAN

Degree: PH.D.

Year: 1997

Corporate Source/Institution: MICHIGAN STATE UNIVERSITY (0128)

Source: VOLUME 59/01-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 121. 205 PAGES

**...ACTIVITY INTERVENTION BASED ON THE TRANSTHEORETICAL MODEL IN CHANGING PHYSICAL-ACTIVITY-RELATED BEHAVIOR ON LOW- INCOME ELDERLY VOLUNTEERS**

...recognized the need to increase physical activity in most Americans and particularly in the low- **income** and **elderly** populations. Physical activity **programs** consistent with this need and based on a theoretical framework are lacking. A 15-week...

...in accordance with the transtheoretical model, and implemented at three low-income older adult housing **sites**. The program consisted of a health fair, educational lectures, and two physical activity programs. The...

...effects of the intervention and the use of behavioral processes of change in a low- **income** **elderly** population. Volunteer subjects were recruited from three low-income older adult housing **sites** (mean age was

76.6 years and 93.5% female). Three instruments were used to collect **data** before, immediately after, and 2 **months** after the experimental treatment. **Information** obtained included: (a) activities of daily living, (b) stages of change, (c) demographic data, and...

...increase physical activity) and that posttreatment stages of change remain for 2 months in low- **income elderly** volunteers. Also, the use of behavioral processes of change increases in late stage-of-change...

...similar to those from previous research. Implications for future studies and for designing physical activity **programs** in low- **income elderly** populations are provided.

**11/3,K/3 (Item 3 from file: 35)**

DIALOG(R)File 35:Dissertation Abs Online  
(c) 2006 ProQuest Info&Learning. All rts. reserv.

1001529 ORDER NO: AAD80-25862

**THE RESEARCH AND DEVELOPMENT OF MULTIMEDIA LEISURE-LEARNING PACKAGES FOR THE RURAL ELDERLY**

Author: HOWE, LAURA GERTRUDE

Degree: D.ED

Year: 1980

Corporate Source/Institution: UNIVERSITY OF OREGON (0171)

Source: VOLUME 41/05-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 2288. 202 PAGES

**THE RESEARCH AND DEVELOPMENT OF MULTIMEDIA LEISURE-LEARNING PACKAGES FOR THE RURAL ELDERLY**

...2) to use a delivery system that is both affordable and available to the rural **elderly**, (3) to **package information** on leisure- **time** activities in a format useful to senior volunteers in a variety of settings and (4...

...in rural areas.

The investigator also conducted an interview with the recreation professional at each **site**. Questions concerning the improvement of the leisure-learning packages and the availability of media equipment...

...record the specific time, location and description of each photograph taken at the field test **sites**. Twelve colored photographs were included in the text to document group and individual participation in...

...Senior Volunteer Program.

Based on the positive results of the field tests, the leisure-learning **packages** for the rural **elderly** enters the main product revision stage of the research and development cycle.

**11/3,K/4 (Item 4 from file: 35)**

DIALOG(R)File 35:Dissertation Abs Online  
(c) 2006 ProQuest Info&Learning. All rts. reserv.

784710 ORDER NO: AAD82-18229

**THE USE OF THREE ON- SITE SERVICES BY ELDERLY RESIDENTS OF LOW INCOME PUBLIC HOUSING**

Author: HERRIOTT, MARTHA JANE  
Degree: PH.D.  
Year: 1982  
Corporate Source/Institution: UNIVERSITY OF WASHINGTON (0250)  
Source: VOLUME 43/03-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 926. 338 PAGES

**THE USE OF THREE ON- SITE SERVICES BY ELDERLY RESIDENTS OF LOW INCOME PUBLIC HOUSING**

The study examined use of on- site services by residents of two Seattle high rises for low income elderly . Three services, public health nursing, social work, and peer advocacy, were provided by an Administration...

...The sample of 242 residents was predominately female, with a mean age of 75-79 years . Baseline data indicated that the majority were physically independent. Most had social supports, yet over half believed...

11/3,K/5 (Item 1 from file: 2)  
DIALOG(R)File 2:INSPEC  
(c) 2006 Institution of Electrical Engineers. All rts. reserv.

09983271

**Title: A real time air pollution monitoring using portable semiconducting gas sensors and Internet GIS**

Author(s): Pummakarnchana, O.; Tripathi, N.K.  
Author Affiliation: Sch. of Eng. & Technol., Asian Inst. of Technol., Thailand  
Journal: WSEAS Transactions on Information Science and Applications  
vol.3, no.7 p.1273-80  
Publisher: WSEAS,  
Publication Date: July 2006 Country of Publication: Greece  
ISSN: 1790-0832  
SICI: 1790-0832(200607)3:7L.1273:RTPM;1-D  
Material Identity Number: J378-2006-007  
Language: English  
Subfile: C  
Copyright 2006, The Institution of Engineering and Technology

**Title: A real time air pollution monitoring using portable semiconducting gas sensors and Internet GIS**

...Abstract: pollution problem are Ozone (O/sub 3/) and particulate matter i.e., total suspended particulate ( TSP ) and particulate matter lesser than 10 mu m (PM/sub 10/) as reported by the...

... pollution monitoring over an extensive area, a combination of ground measurements through inexpensive sensors and Internet GIS are employed for this purpose. The air quality report can be published using Internet GIS in order to contribute a real- time information service.

...Descriptors: Internet ;  
...Identifiers: Internet GIS...

...real- time information service

11/3,K/6 (Item 2 from file: 2)

DIALOG(R)File 2:INSPEC

(c) 2006 Institution of Electrical Engineers. All rts. reserv.

09077121 INSPEC Abstract Number: A2004-20-9260S-010

**Title: Seasonal variation of water-soluble ions of the aerosol at the high-alpine site Jungfrauoch (3580 m asl)**

Author(s): Henning, S.; Weingartner, E.; Schwikowski, M.; Gaggeler, H.W.; Gehrig, R.; Hinz, K.-P.; Trimborn, A.; Spengler, B.; Baltensperger, U.

Author Affiliation: Lab. of Atmos. Chem., Paul Scherrer Inst., Villigen, Switzerland

Journal: Journal of Geophysical Research vol.108, no.D1 p.ACH8-1-10

Publisher: American Geophys. Union,

Publication Date: 16 Jan. 2003 Country of Publication: USA

CODEN: JGREA2 ISSN: 0148-0227

SICI: 0148-0227(20030116)108:D1L.ach8:SVWS;1-M

Material Identity Number: J047-2003-039

Language: English

Subfile: A

Copyright 2004, IEE

**Title: Seasonal variation of water-soluble ions of the aerosol at the high-alpine site Jungfrauoch (3580 m asl)**

...Abstract: continuously measured since July 1999 at the Jungfrauoch (JFJ) of which the first 1.5- **year data** set is presented. Sampling is performed in two size classes (total suspended particles ( **TSP** ) and particles with aerodynamic diameters smaller than 1  $\mu$  m). The filters are analyzed for major ions, which constitute 30% of the total dry aerosol mass collected at this **site** . As annual mean, a total ion mass concentration of 1.04  $\mu$  g m/sup...

...Identifiers: high-alpine **site** Jungfrauoch...

11/3,K/7 (Item 3 from file: 2)

DIALOG(R)File 2:INSPEC

(c) 2006 Institution of Electrical Engineers. All rts. reserv.

03456712 INSPEC Abstract Number: A85068439

**Title: The relationship between the ATDL model and the statistical distributions of wind speed and pollution data**

Author(s): Simpson, R.W.; Jakeman, A.J.; Daly, N.J.

Author Affiliation: Centre for Resource & Environ. Studies, Australian Nat. Univ., Canberra, ACT, Australia

Journal: Atmospheric Environment vol.19, no.1 p.75-82

Publication Date: 1985 Country of Publication: UK

CODEN: ATENBP ISSN: 0004-6981

U.S. Copyright Clearance Center Code: 0004-6981/85\$3.00+0.00

Language: English

Subfile: A

Abstract: By examining the statistical distributions for total suspended particulates ( **TSP** ) and acid gas data, together with wind speed data, it is shown that the ATDL...

... for daily average data, but not the average relationship between air pollution and wind speed **data** monitored at the same **time** . The results demonstrate why the ATDL model often works between air pollution data and wind speed data collected at different **sites** . They also explain the weak dependence of the ATDL model parameters on atmospheric stability found...

11/3,K/8 (Item 4 from file: 2)  
DIALOG(R)File 2:INSPEC  
(c) 2006 Institution of Electrical Engineers. All rts. reserv.

02541107 INSPEC Abstract Number: A80072105

**Title: Identifying and estimating the relative importance of sources of airborne particulates**

Author(s): Kleinman, M.T.; Pasternack, B.S.; Eisenbud, M.; Kneip, T.J.  
Author Affiliation: Rancho Los Amigos Hospital Campus, Univ. of Southern California, Downey, CA, USA  
Journal: Environmental Science and Technology vol.14, no.1 p.62-5  
Publication Date: Jan. 1980 Country of Publication: USA  
CODEN: ESTHAG ISSN: 0013-936X  
Language: English  
Subfile: A

...Abstract: been developed for apportioning the relative contributions of several sources to total suspended particulate matter ( **TSP** ) based on trace substance concentrations in aerosol samples. The model when applied to data from New York City indicates that about 40% of the **TSP** is due to natural or secondary sources. In 1972 to 1975, about 20-25% of the **TSP** is related to automobile emissions, while fuel oil burning for heat and power contributes about 10%, and incineration about 5%. The model was tested on **data** collected over several **years** at urban and nonurban **sites** . Predicted and observed **TSP** values agreed usually to within better than 20%.

...Identifiers: nonurban **sites** ; ...

...urban **sites** ;

11/3,K/9 (Item 1 from file: 144)  
DIALOG(R)File 144:Pascal  
(c) 2006 INIST/CNRS. All rts. reserv.

17334827 PASCAL No.: 05-0410574  
**On the vehicle routing problem**  
**Algorithms and data structurese : Waterloo ON, 15-17 August 2005**  
BERMAN Piotr; DAS Surajit K  
DEHNE Frank, ed; LOPEZ-ORTIZ Alejandro, ed; SACK Joerg-Ruediger, ed  
The Pennsylvania State University, United States; Penske Logistics,  
United States  
WADS : workshop on algorithms and data structures, 9 (Waterloo ON CAN)  
2005-08-15  
Journal: Lecture notes in computer science, 2005, 3608 360-371  
Language: English

Copyright (c) 2005 INIST-CNRS. All rights reserved.

In the Vehicle Routing Problem (VRP), as in the Traveling Salesman Problem ( **TSP** ), we have a metric space of customer points, and we have to visits all customers...

... fully polynomial time algorithm with approximation 2.5, we also modify this algorithm for the **on - line** version of VRP, the randomized version has competitive ratio of 2.5 on the average...

English Descriptors: **Data** structure; Travelling salesman problem;  
Polynomial **time** ; Randomization; Metric space; Vehicle routing problem;  
Polynomial approximation; Polynomial method; Approximation algorithm;  
Deterministic approach

**11/3,K/10** (Item 2 from file: 144)  
DIALOG(R) File 144:Pascal  
(c) 2006 INIST/CNRS. All rts. reserv.

16873790 PASCAL No.: 04-0534868  
**Algorithms for the On - Line Quota Traveling Salesman Problem**  
AUSIELLO G; DEMANGE M; LAURA L; PASCHOS V  
Dipartimento di Informatica e Sistemistica, Universita di Roma La  
Sapienza , Via Salaria 113, 00198 Roma, Italy; Universite Paris-Dauphine,  
Place du Marechal De Lattre de Tassigny, 75775 Paris, France; ESSEC,  
Departement SID, Avenue Bernard HIRSH, BP 105, 95021 Cergy Pontoise, France  
Journal: Information processing letters, 2004, 92 (2) 89-94  
Language: English

Copyright (c) 2004 INIST-CNRS. All rights reserved.

**Algorithms for the On - Line Quota Traveling Salesman Problem**  
... given quota of sales, minimizing the amount of time. In this paper we  
address the **on - line** version of the problem, where requests are given  
over time. We present algorithms for various...

...to move at full speed, and this approach is also used to derive the best  
**on - line** polynomial time algorithm known so far for the **On - Line TSP**  
(in the homing version).

English Descriptors: Competitive algorithms; Metric space; Travelling  
salesman problem; Lower bound; **Online** algorithm; Polynomial **time** ;  
Computer theory; **Information** processing; Algorithm analysis

**11/3,K/11** (Item 3 from file: 144)  
DIALOG(R) File 144:Pascal  
(c) 2006 INIST/CNRS. All rts. reserv.

16827016 PASCAL No.: 04-0485817  
**A statistical analysis of particulate data sets for Jawaharlal Nehru port  
and surrounding harbour region in India**  
GUPTA A K; PATIL R S; GUPTA S K  
Department of Civil Engineering, Indian Institute of Technology Kharagpur  
, Kharagpur, India; Centre for Environmental Science and Engineering,  
Indian Institute of Technology, Bombay, Mumbai, India  
Journal: Environmental monitoring and assessment, 2004, 95 (1-3) 295-309  
Language: English

Copyright (c) 2004 INIST-CNRS. All rights reserved.

The concentrations of total suspended particulate matter ( **TSP** ) and  
particulate matter less than 10 microns (PM SUB 1 SUB 0 ) were measured at  
...

... and seasonal variations, annual trends as well as meteorological  
effects. The monthly mean concentration of **TSP** was in the range of 88.2

to 199.3  $\mu\text{g m SUP} - \text{SUP}...$

...0 was 66.1  $\mu\text{g m SUP} - \text{SUP} 3$ . There are clear associations between **TSP** and PM SUB 1 SUB 0 data set at all the measured three **sites** with a correlation coefficient of 0.89, 0.69 and 0.81, respectively. PM SUB 1 SUB 0 data appears to be a constant fraction of the **TSP data** throughout the **year**, indicating common influences of meteorology and sources. Particle size analysis showed PM SUB 1 SUB 0 to be 47% of the total **TSP** concentration, which is lower than reported for industrial area and traffic junctions in Mumbai. Anthropogenic...

... more in a port and harbour area. Statistical analysis of air quality data shows that **TSP** is strongly correlated with wind speed but weakly correlated with temperature. There appears to be a simple inverse relationship between **TSP** and wind speed data, indicating the dilution and transport by winds.

11/3,K/12 (Item 4 from file: 144)  
DIALOG(R)File 144:Pascal  
(c) 2006 INIST/CNRS. All rts. reserv.

16331554 PASCAL No.: 03-0497015  
**Impact of dominant tree dynamics on site index curves**  
RAULIER Frederic; LAMBERT Marie-Claude; POTHIER David; UNG Chhun-Huor  
Natural Resources Canada, Canadian Forest Service, Laurentian Forestry  
Centre, 1055 du P.E.P.S., P.O. Box 3800, Sainte-Foy, Que., G1V 4C7, Canada;  
Ministere des Ressources naturelles, Foret Quebec, Direction de la  
recherche Forestiere, 2700 Einstein, Sainte-Foy, Que., G1P 3W8, Canada  
Journal: Forest ecology and management, 2003, 184 (1-3) 65-78  
Language: English

Copyright (c) 2003 INIST-CNRS. All rights reserved.

**Impact of dominant tree dynamics on site index curves**  
**Site** index curves were modeled for two species of different shade tolerance, black spruce (*Picea mariana*...

...of permanent sample plots (PSP) that covers periods of time varying from 10 to 30 **years**, in the province of Quebec. A **data** set reserved for validation allowed us to compare the **site** index curves derived from PSPs with published **site** index curves fitted to temporary sample plots (**TSP**) and stem analyses (SA). For both species, the **site** index curves calibrated from PSPs and TSPs behave similarly as they have comparable average bias...

...strongly overpredict the dominant height growth of the PSPs. The similar pattern of change of **site** index curves calibrated from **TSP** and PSP data reinforces their validity as both types of curves were calibrated with independent...

11/3,K/13 (Item 5 from file: 144)  
DIALOG(R)File 144:Pascal  
(c) 2006 INIST/CNRS. All rts. reserv.

16288706 PASCAL No.: 03-0452251  
**PM levels in the Basque Country (Northern Spain): analysis of a 5- year**



data **record and interpretation of seasonal variations**

VIANA M; QUEROL X; ALASTUEY A; GANGOITI G; MENENDEZ M  
Institute of Earth Sciences Jaume Almera , CSIC, Lluís Solé i Sabarís s/n  
, 08028 Barcelona, Spain; Escuela Superior de Ingenieros de Bilbao, Alda.  
de Urquijo s/n, 48013 Bilbao, Spain; Department of Mineralogy and  
Petrology, Universidad del País Vasco, Apdo. 644, 48080 Bilbao, Spain  
Journal: Atmospheric environment : (1994), 2003, 37 (21) 2879-2891  
Language: English

Copyright (c) 2003 INIST-CNRS. All rights reserved.

**PM levels in the Basque Country (Northern Spain): analysis of a 5- year  
data record and interpretation of seasonal variations**

...from 16  $\mu\text{g}$  PM SUB 1 SUB 0 /m SUP 3 at regional background **sites** ,  
to 35-40  $\mu\text{g}$  **TSP** /m SUP 3 (equivalent to 25-30  $\mu\text{g}$  PM SUB 1 SUB 0 /m  
SUP 3 ) at urban background **sites** , to 40-48  $\mu\text{g}$  **TSP** /m SUP 3 (30-40  $\mu\text{g}$   
PM SUB 1 SUB 0 /m SUP 3 ) at roadside **sites** ; to 50-64  $\mu\text{g}$  **TSP** /m SUP  
3 (35-50  $\mu\text{g}$  PM SUB 1 SUB 0 /m SUP 3 ) at industrial and heavy traffic  
**sites** . The EU daily and annual PM SUB 1 SUB 0 limit values for 2005 are  
...

... regional anthropogenic contributions, precipitation, African dust and  
European transport. PM at Llodio (an urban background **site** under  
industrial influence and mean PM SUB 1 SUB 0 , PM SUB 2 SUB . SUB...

**11/3,K/14 (Item 6 from file: 144)**  
DIALOG(R)File 144:Pascal  
(c) 2006 INIST/CNRS. All rts. reserv.

16264300 PASCAL No.: 03-0426679

**Identification of risk for high hospital use: Cost comparisons of Four  
strategies and performance across subgroups**

REUBEN David B; KEELER Emmett; SEEMAN Teresa E; SEWALL Ase; HIRSCH Susan  
H; GURALNIK Jack M

Multicampus Program in Geriatric Medicine and Gerontology, David Geffen  
School of Medicine at UCLA, Los Angeles, California, United States; RAND  
Corporation, Santa Monica, California, United States; Sewall, Incorporated,  
Bethesda, Maryland, United States; National Institute on Aging, Bethesda,  
Maryland, United States

Journal: Journal of the American Geriatrics Society, 2003, 51 (5)  
615-620

Language: English

Copyright (c) 2003 INIST-CNRS. All rights reserved.

... based. PARTICIPANTS: Five thousand one hundred thirty-eight  
participants of the sixth wave of three **sites** of the Established  
Populations for Epidemiologic Studies of the **Elderly** . MEASUREMENTS: Four  
**strategies** (prior hospitalization data only, a 10-item self-report screen  
alone, self-report combined with...  
... as-needed use of laboratory tests when the self-report screen is  
inconclusive) and 3- **year** Medicare Part A hospital cost **data** . RESULTS:  
Assuming that interventions based on screening would yield a total benefit  
of \$1,000...

**11/3,K/15 (Item 7 from file: 144)**

#### Canadian locations

BROOK J R; DANN T F; BURNETT R T

Atmospheric Environment Service, Downsview, Ontario, Canada; Environment Canada, Ottawa, Ontario, Canada; Health Canada, Ottawa, Ontario, Canada

Journal: Journal of the Air & Waste Management Association:(1995), 1997, 47 (1) 2-19

Language: English

Copyright (c) 1997 INIST-CNRS. All rights reserved.

#### **The relationship among TSP , PM SUB 1 SUB 0 , PM SUB 2 SUB . SUB 5 , and inorganic constituents of...**

...atmospheric particle measurements in the world. A maximum of ten and a minimum of two **years** of **data** are available for 19 Canadian locations. These data were used to investigate relationships between collocated measurements of **TSP** , PM SUB 1 SUB 0 , PM SUB 2 SUB . SUB 5 , SO SUB 4 SUP ...

...rural locations. Amongst all locations and all 24-hour measurements, the 10th and 90th percentile **TSP** concentrations were 22 and 98 mu g m SUP - SUP 3 , respectively. A majority of...

... were below 26 mu g m SUP - SUP 3 (90th percentiles). On average across all **sites** , PM SUB 2 SUB . SUB 5 accounted for 49% of the PM SUB 1 SUB 0 , and PM SUB 1 SUB 0 accounted for 44% of the **TSP** . However, there was considerable variability among **sites** , with the mean PM SUB 2 SUB . SUB 5 to PM SUB 1 SUB 0...

... to 0.65. This ratio also varied substantially from measurement to measurement, but at most **sites** a majority (>50%) of the ratios were within +- 10% of the median value. PM SUB...

...5 concentrations tended to increase from summer to winter except at some of the eastern **sites** , particularly the rural locations, where sulfate was an important constituent. Coarse particles (2.5 mu...

... to exhibit the opposite seasonal pattern. Particle levels were highest at a high-density traffic **site** in Montreal, Quebec. Excluding this **site** , which was influenced by local sources, the particle levels tended to be highest in southwestern Ontario. Depending upon **site** , only about 37% to 61% of the PM SUB 2 SUB . SUB 5 could be...

**11/3,K/19 (Item 11 from file: 144)**

DIALOG(R) File 144:Pascal

(c) 2006 INIST/CNRS. All rts. reserv.

12592879 PASCAL No.: 96-0279226

#### **Prognostic indicators in the elderly patient with burns**

COVINGTON D S; WAINWRIGHT D J; PARKS D H

The University of Texas Health Science Center at Houston, United States

Journal: The Journal of burn care & rehabilitation, 1996, 17 (3) 222-230

Language: English

...and postinjury complications on their survival. A retrospective review of 252 patients more than 55 **years** of age evaluated demographic **information** , premorbid medical conditions, burn characteristics, clinical management, complications, and survival during a 12-year period...

... outcome when systemic sepsis occurred (IV, 100%). The lower respiratory tract was the most common **site** of infection (24.6%) and carried the highest incidence of sepsis (15.1%) and death...

English Descriptors: Burn; Prognosis; Relation; Age; Concomitant disease; Health; **Strategy** ; Treatment; Evolution; Result; **Elderly**

11/3,K/20 (Item 1 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2006 The Thomson Corp. All rts. reserv.

017819342 \*\*Image available\*\*  
WPI Acc No: 2006-330639/200634  
XRPX Acc No: N06-280225

**Computer based benefit plan management method in network based future savings promotion services, involves receiving demographic and economic data from user for determining current year maximum contribution**  
Patent Assignee: BERENDS J J (BERE-I); BERG P R (BERG-I); CALDWELL C (CALD-I); FLEISCHER K (FLEI-I); HICKMAN T (HICK-I); KIM C D (KIMC-I); STEVENSON J W (STEV-I)  
Inventor: BERENDS J J; BERG P R; CALDWELL C; FLEISCHER K; HICKMAN T; KIM C D; STEVENSON J W  
Number of Countries: 001 Number of Patents: 001  
Patent Family:  
Patent No Kind Date Applicat No Kind Date Week  
US 20060095307 A1 20060504 US 2004979745 A 20041101 200634 B

Priority Applications (No Type Date): US 2004979745 A 20041101  
Patent Details:  
Patent No Kind Lan Pg Main IPC Filing Notes  
US 20060095307 A1 14 G06F-009/44

... **plan management method in network based future savings promotion services, involves receiving demographic and economic data from user for determining current year maximum contribution**

Abstract (Basic):

... user for a defined benefit plan. When the user is eligible, the demographic and economic **data** are received for determining the current **year** maximum contribution for the user. The plan is then structured as a function of current...  
... For establishing defined benefit **plans** e.g. **retirement plan** for employees in **internet** based future savings plan promotion services...

11/3,K/21 (Item 2 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2006 The Thomson Corp. All rts. reserv.

016831277 \*\*Image available\*\*  
WPI Acc No: 2005-155559/200517  
XRPX Acc No: N05-130836

**Pension consultation system transmits pension scheme data to pension consultation room through facsimile or optical fiber based on application form received from consultation room**  
Patent Assignee: TANAKA S (TANA-I)

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
JP 2005025704	A	20050127	JP 2003271058	A	20030704	200517 B

Priority Applications (No Type Date): JP 2003271058 A 20030704

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
JP 2005025704	A	9	G06F-017/60	

Abstract (Basic):

... For consultation of pension such as welfare **pension** , employer  
**old age pension** and unemployment insurance from pension  
consultation room such as financial instillation to pension office such  
...

...The candidate can interact with special lecturer in the pension office  
easily in **on - line** process, thereby acquiring the **information**  
easily and accurately without increasing cost and **time** .

11/3,K/22 (Item 3 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2006 The Thomson Corp. All rts. reserv.

013455753 \*\*Image available\*\*

WPI Acc No: 2000-627696/200060

Related WPI Acc No: 1999-254794; 2000-610538

XRPX Acc No: N00-465041

**Investment resources administration assistance program for pensioners,  
detects preset time at which withdrawals do not incur tax penalty based  
on beneficiary's age and invests resources for that period**

Patent Assignee: EDELMAN R (EDEL-I)

Inventor: EDELMAN R

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 6085174	A	20000704	US 97936020	A	19970923	200060 B

Priority Applications (No Type Date): US 97936020 A 19970923

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
US 6085174	A	38	G06F-017/60	

Abstract (Basic):

... customer for administering the resources is accepted based on  
certain criteria and then customer related **data** is stored. A preset  
**time** period at which withdrawals do not incur tax penalty, is detected  
based on beneficiary's...

... For managing resources **investments** for worker, **retirees**  
benefit **scheme** , etc...

...complexity in the administration of resources. Reports on performance of  
resources can be tracked through **Internet** and/or **intranet** hence  
management is simplified...

?

12/3,K/1 (Item 1 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2006 The New York Times. All rts. reserv.

XX

00228761 NYT Sequence Number: 082504711105

(Nixon Adm outlines publicly for 1st time series of reforms it favors in field of private pensions, including controversial vesting requirement; Nixon asst P M Flanigan states that special White House task force on pensions, which he heads, will 'in very near future' make its recommendations on pension fund vesting, fiduciary responsibility and tax incentives for individuals who want to create their own pension plans, s, Pension Conf sponsored by Donaldson, Lufkin & Jenrette; stresses that final decisions have not been made but says that proposals being given serious consideration include: legis requiring vested benefits after 10 yrs of service with employer, or partial vesting after combination of age and yrs of service adding up to at least 50; resubmission of Adm's fiduciary responsibility bill, which requires fuller disclosure and imposes strict standards of fiduciary responsibility on private pension funds; tax incentives for creation of individual pension plans by individual workers, similar to present plan available to self-employed individuals; Flanigan appears to rule out legis covering reforms in funding standards, termination ins and portability; s seen indicating that Adm favors generally conservative approach; Flanigan illus)

New York Times, Col. 5, Pg. 59  
Friday November 5 1971

...recommendations on pension fund vesting, fiduciary responsibility and tax incentives for individuals who want to create their own pension plans, s, Pension Conf sponsored by Donaldson, Lufkin & Jenrette; stresses that final decisions have not been made but says that...

DESCRIPTORS: FEDERAL TAXES (US); INCOME TAX; PENSIONS AND RETIREMENT  
; TAXATION

12/3,K/2 (Item 1 from file: 475)  
DIALOG(R)File 475:Wall Street Journal Abs  
(c) 2006 The New York Times. All rts. reserv.

06278658  
**COMPETITION REVOLUTIONIZING FINANCIAL PLANNING**  
SCHULTZ, ELLEN E  
Wall Street Journal, Col. 3, Pg. 1, Sec. C  
Wednesday September 16 1992

ABSTRACT:

...for Your Retirement Dollars, part of series, says explosive growth of past few years of company - sponsored retirement plans has created vast need for financial planning among employees and retirees; says while financial planners have profited initially from this development, many could be decimated by growing tidal wave of new competition that establishment of these new retirement plans has spawned; says financial planners who survive may include those who specialize in servicing specialized niches related to 401 (k)s and other so-called 'defined-contribution' plans; says most likely roles are: providing educational...

XX

12/3,K/3 (Item 1 from file: 99)

DIALOG(R)File 99:Wilson Appl. Sci & Tech Abs  
(c) 2006 The HW Wilson Co. All rts. reserv.

1967067 H.W. WILSON RECORD NUMBER: BAST96002871

**Managing your retirement plan distribution**

Crandall, Rick;

Plating and Surface Finishing v. 82 (Dec. 1995) p. 50

DOCUMENT TYPE: Feature Article ISSN: 0360-3164

**Managing your retirement plan distribution**

...ABSTRACT: individuals would be better off to establish a rollover IRA, which is a tax-sheltered **account designed** to receive **retirement** benefits distributed from **employer - sponsored** qualified **plans**. Current taxes are deferred, and all dividends, interest, and gains are exempt from tax until withdrawn. Advice is provided with regard to transferring **retirement plan** distribution to an IRA and selecting a suitable rollover IRA plan.

12/3,K/4 (Item 2 from file: 99)

DIALOG(R)File 99:Wilson Appl. Sci & Tech Abs  
(c) 2006 The HW Wilson Co. All rts. reserv.

1848365 H.W. WILSON RECORD NUMBER: BAST99016473

**Selecting the right pension program**

Meek, Duane;

American City & County v. 114 no3 (Mar. '99) p. 8

DOCUMENT TYPE: Feature Article ISSN: 0149-337X

ABSTRACT: The writer outlines the factors that should be considered by local governments **designing** or modifying supplemental **retirement plans**. The **plan sponsor** must consider whether to go for an all-inclusive, packaged program or a customized approach...

DESCRIPTORS: **Pension and retirement plans ;**

12/3,K/5 (Item 1 from file: 350)

DIALOG(R)File 350:Derwent WPIX  
(c) 2006 The Thomson Corp. All rts. reserv.

014343757 \*\*Image available\*\*

WPI Acc No: 2002-164460/200221

XRPX Acc No: N02-125548

**Comparing financial products as funding sources for financial benefit plans e.g., retirement , group life insurance, disability etc. by summing weighted-scaled values for each product**

Patent Assignee: AFS-IP INC (AFSI-N); ASF-IP INC (ASFI-N)

Inventor: JOHNSON D R; MEHTA A

Number of Countries: 096 Number of Patents: 003

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200201463	A2	20020103	WO 2001US20239	A	20010626	200221 B
US 20020007332	A1	20020117	US 2000214675	P	20000627	200221

US 2001891911 A 20010626  
AU 200171448 A 20020108 AU 200171448 A 20010626 200235

Priority Applications (No Type Date): US 2000214675 P 20000627; US  
2001891911 A 20010626

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200201463 A2 E 56 G06F-017/60

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA  
CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN  
IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ  
PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

US 20020007332 A1 G06F-017/60 Provisional application US 2000214675

AU 200171448 A G06F-017/60 Based on patent WO 200201463

**Comparing financial products as funding sources for financial benefit  
plans e.g., retirement , group life insurance, disability etc. by  
summing weighted-scaled values for each product**

Abstract (Basic):

... to additional benefits over and above the benefits provided by  
the company's qualified benefit **plans** (e.g., **retirement** , group life  
insurance and disability...

...Allows a user, such as a **plan sponsor , designer** , consultant,  
broker, or administrator, to differentiate among various product  
offerings. In order to compare various...

?

13/3,K/1 (Item 1 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2006 The New York Times. All rts. reserv.

08162066 NYT Sequence Number: 917664041216  
**MORNINGSTAR HAS RECEIVED A SUBPOENA FROM SPITZER**  
Atlas, Riva D  
New York Times, Col. 1, Pg. 7, Sec. C  
Thursday December 16 2004

ABSTRACT:

...from New York State Atty Gen Eliot Spitzer relating to consulting services it offers to **retirement plan sponsors**; inquiry comes at time when firm is already facing investigation by Securities and Exchange Commission regarding incorrect **data** that it published on mutual fund this **year** (M)

13/3,K/2 (Item 1 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2006 The Thomson Corp. All rts. reserv.

014276659 \*\*Image available\*\*  
WPI Acc No: 2002-097361/200213  
XRPX Acc No: N02-071974

**Method of providing group retirement plan administration to one or more sponsors by automatically updating provider database based on contribution information received from sponsor**

Patent Assignee: PRINCIPAL FINANCIAL GROUP (PRIN-N); ELLIS A M (ELLI-I); FRANKLIN S J (FRAN-I); HAYS E W (HAYS-I); KELLY W J (KELL-I); KLIEGL P M (KLIE-I); THOMANN S (THOM-I); ASHTON D M (ASHT-I); BEER M J (BEER-I); BOWMAN C J (BOWM-I); HASAN S L (HASA-I); HINDERS K R (HIND-I); KLEPPE D L (KLEP-I); KNAKE P W (KNAK-I); KRAKAU B E (KRAK-I); PERKINS B J (PERK-I); RIPPERGER J L (RIPP-I); VAN BAALE J A (VBAA-I)

Inventor: ELLIS A M; FRANKLIN S; KELLY W J; THOMANN K S; FRANKLIN S J; HAYS E W; KLIEGL P M; THOMANN S; ASHTON D M; BEER M J; BOWMAN C J; HASAN S L; HINDERS K R; KLEPPE D L; KNAKE P W; KRAKAU B E; PERKINS B J; RIPPERGER J L; VAN BAALE J A

Number of Countries: 094 Number of Patents: 005

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200177951	A2	20011018	WO 2001US11070	A	20010405	200213 B
AU 200153167	A	20011023	AU 200153167	A	20010405	200213
US 20010037276	A1	20011101	US 2000195185	P	20000407	200213
			US 2001824329	A	20010402	
AU 2001253167	A8	20051013	AU 2001253167	A	20010405	200611
US 20060080200	A1	20060413	US 2000195185	P	20000407	200626
			US 2001824329	A	20010402	
			US 2005186640	A	20050720	

Priority Applications (No Type Date): US 2001824329 A 20010402; US 2000195185 P 20000407; US 2005186640 A 20050720

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
-----------	------	-----	----	----------	--------------

WO 200177951	A2	E	69	G06F-017/60	
--------------	----	---	----	-------------	--

Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY BZ CA CH  
CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP  
KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT  
RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW



Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW  
AU 200153167 A Based on patent WO 200177951  
US 20010037276 A1 G06F-017/60 Provisional application US 2000195185  
  
AU 2001253167 A8 G06F-017/60 Based on patent WO 200177951  
US 20060080200 A1 G06Q-040/00 Provisional application US 2000195185

CIP of application US 2001824329

**Method of providing group retirement plan administration to one or more sponsors by automatically updating provider database based on contribution information...**

Abstract (Basic):

... a) a group **retirement plan** administration system...

...In **employer - sponsored retirement savings plans** for underwriting and/or administrating the plan...

...web-based, web-enabled front end for passing/sharing main frame data to/with a **retirement plan** provider. Provides for a comprehensive, interactive front end for providing record keeping and other service to group **retirement plan sponsors** . Provides record keeping and other services to group **retirement plan** participants while improving way to handle both money and data relating to **retirement plans** and reduces **data** errors. Reduces the **time** between receipt of a request and implementation of the request...

...showing the information flow of the present invention between the plan participant in a group **retirement plan** , a **plan sponsor** of the group **retirement plan** and the **plan** provider of the group **retirement plan** .

?